

LOUISIANA  
ETHICS ADMINISTRATION  
CAMPAIGN FINANCE  
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**LOUISIANA BOARD OF ETHICS**

Post Office Box 4368  
Baton Rouge, Louisiana 70821

**TIER 1 PERSONAL FINANCIAL DISCLOSURE STATEMENT (ANNUAL)**

- ☐ I currently hold an office that would require me to file a Tier 2, Tier 2.1, or Tier 3 Personal Financial Disclosure Statement. As such, I have completed SCHEDULE K.

**This Report Covers Calendar Year:** 2013

☒ ORIGINAL REPORT

☐ AMENDED REPORT

☐ FINAL REPORT WHERE TERM ENDS IN JANUARY (JANUARY 1 THROUGH JANUARY ☐ )

Final reports must be filed on or before May 15 of the year in which your service to that office ends.  
Refer to the "GENERAL INFORMATION" sheet of this form to determine eligibility.

**Office/Position Held:** Governor

**Name of Filer** (print full name) Bobby Jindal

Address (residence) 1001 Capitol Access Road

City, State, Zip Baton Rouge, LA 70802

**Name of Spouse** (print full name) Supriya Jindal

Spouse's Occupation First Lady

Principal Business Address 1001 Capitol Access Road

City, State, Zip Baton Rouge, LA 70802

**Check all that apply:**

- ☒ I have filed my state income tax return for the previous year.  
☐ I have filed for an extension of my state income tax return for the previous year.  
☒ I have filed my federal income tax return for the previous year.  
☐ I have filed for an extension of my federal income tax return for the previous year.

**NOTE: La. R.S. 42:1124.1 does not provide you the opportunity to request an extension in filing your personal financial disclosure statement.**

**Certification of Accuracy**

I do hereby certify, after having been duly sworn, that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge, information, and belief.

Signature of Filer

Sworn to and subscribed before me this 14<sup>th</sup> day of May, 2014.

Karen A. Loftin

Notary Public (print name)

Karen A. Loftin

Notary Public (signature)

ID# Bar Roll No. 29955

Date Commission Expires at death

**LOUISIANA BOARD OF ETHICS**

Post Office Box 4368  
Baton Rouge, Louisiana 70821

**Schedule A: Employment Information**

☐ Check if not applicable

<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time
Name of Employer: <u>State of Louisiana</u>
Job Title: <u>Governor</u>
Job Description: <u>Chief Executive Officer</u>
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time
Name of Employer: _____
Job Title: _____
Job Description: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time
Name of Employer: _____
Job Title: _____
Job Description: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time
Name of Employer: _____
Job Title: _____
Job Description: _____

- You are required to complete SCHEDULE A to disclose employment information related to both you and your spouse.
- List the name of the employer; the title of the position; a brief description of the job; and disclosure as to whether the position is full-time or part-time.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule B: Positions - Business**☒ Check if not applicable

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
Amount of Interest (where interest exceeds 10%): _____ %
Name of Business: _____
Address: _____
City, State, Zip: _____
Business Description: _____
Nature of Association: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
Amount of Interest (where interest exceeds 10%): _____ %
Name of Business: _____
Address: _____
City, State, Zip: _____
Business Description: _____
Nature of Association: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
Amount of Interest (where interest exceeds 10%): _____ %
Name of Business: _____
Address: _____
City, State, Zip: _____
Business Description: _____
Nature of Association: _____

\* You are required to complete SCHEDULE B if you or your spouse is a director, officer, owner, partner, member, or trustee of a business OR if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

\* "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

**LOUISIANA BOARD OF ETHICS**

Post Office Box 4368  
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**Schedule C: Positions - Nonprofit**

☐ Check if not applicable

☒ Filer ☐ Spouse

Name of Organization: Southern Regional Education Board

Address: 592 10th Street N.W.

City, State, Zip: Atlanta, GA 30318-5776

Nature of Association: Member and Chairman

Description of Organization: Non-profit, non-partisan organization

☒ Filer ☐ Spouse

Name of Organization: Louisiana Southern Growth Policies Board

Address: P.O. Box 12293

City, State, Zip: Research Triangle Park, NC 27709

Nature of Association: Trustee

Description of Organization: Non-partisan public policy organization

☒ Filer ☐ Spouse

Name of Organization: Republican Governors Association

Address: 1747 Pennsylvania Ave NW, Suite 250

City, State, Zip: Washington, DC 20006

Nature of Association: Board member, Chairman and Vice-Chairman

Description of Organization: Non-profit organization

**\*You are required to complete SCHEDULE C if you or your spouse is a director or officer of a nonprofit agency.**

Revised December 2012

Form 415A

[www.ethics.state.la.us](http://www.ethics.state.la.us)

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule C: Positions - Nonprofit**☐ Check if not applicable☐ Filer ☒ SpouseName of Organization: Supriya Jindal Foundation for La ChildrenAddress: P.O. Box 86955City, State, Zip: Baton Rouge, LA 70879Nature of Association: PresidentDescription of Organization: Non-profit charitable organization☐ Filer ☒ SpouseName of Organization: Tulane UniversityAddress: 1555 Poydras Street, Suite 1000City, State, Zip: New Orleans, LA 70112Nature of Association: President's Council MemberDescription of Organization: University☐ Filer ☒ SpouseName of Organization: Special Olympics Gold Medal CommissionAddress: 1000 East Morris AvenueCity, State, Zip: Hammond, LA 70403Nature of Association: Board MemberDescription of Organization: Non-profit organization

\*You are required to complete SCHEDULE C if you or your spouse is a director or officer of a nonprofit agency.

Revised December 2012

Form 415A

[www.ethics.state.la.us](http://www.ethics.state.la.us)

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule D: Income from the State, Political**☐ Check if not applicable **Subdivisions, and/or Gaming Interests**☒ Filer ☐ Spouse ☐ Business (where amount of interest exceeds 10%)Type of Income: ☒ State ☐ Political Subdivision ☐ Gaming Interest

Name of Business (if applicable): \_\_\_\_\_

Name of Income Source: State of LouisianaAddress: 1001 Capitol Access RoadCity, State, Zip: Baton Rouge, LA 70802Amount of Income (exact dollar amount): \$ 130,000.00☐ Filer ☐ Spouse ☐ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Name of Business (if applicable): \_\_\_\_\_

Name of Income Source: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Amount of Income (exact dollar amount): \$ \_\_\_\_\_

☐ Filer ☐ Spouse ☐ Business (where amount of interest exceeds 10%)Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Name of Business (if applicable): \_\_\_\_\_

Name of Income Source: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Amount of Income (exact dollar amount): \$ \_\_\_\_\_

\* You are required to complete SCHEDULE D if you or your spouse received income from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.

\* The definitions for (and examples of) *political subdivision*, *gaming interest*, and *business* are found in the *Instructions Section* of this form.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income**☐ Check if not applicable (income that exceeds \$1,000 from each source)

<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse
Name of Source of Income: <u>Fidelity Growth Company Mutual Fund</u>	
Address: <u>P.O. Box 770001</u>	
City, State, Zip: <u>Cincinnati, OH 45277-0003</u>	
Nature of Services Rendered: _____	
Type of Income: <u>Dividends and Capital Gain</u>	
Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input checked="" type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)	
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)	

<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse
Name of Source of Income: <u>Fidelity Spartan 500 Index Mutual Fund</u>	
Address: <u>P.O. Box 770001</u>	
City, State, Zip: <u>Cincinnati, OH 45277-0003</u>	
Nature of Services Rendered: _____	
Type of Income: <u>Dividends</u>	
Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input checked="" type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)	
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)	

<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse
Name of Source of Income: <u>Fidelity Tax Managed Mutual Fund</u>	
Address: <u>P.O. Box 770001</u>	
City, State, Zip: <u>Cincinnati, OH 45277-0003</u>	
Nature of Services Rendered: _____	
Type of Income: <u>Dividends and Capital Gain</u>	
Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input checked="" type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)	
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)	

\* You are required to complete SCHEDULE E if you or your spouse received income in excess of \$1,000 from each source of income.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* You are not required to disclose income derived from disability payments from any source; or child support or alimony payments contained in a court order.

\* Income reported on Schedule D does not have to be restated on SCHEDULE E.

\* If the income is derived from professional or consulting services and the disclosure of the source's name or address is prohibited by law or professional code, such income should be disclosed on SCHEDULE F.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income**☐ Check if not applicable (income that exceeds \$1,000 from each source)

<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse
Name of Source of Income: <u>Vanguard 500 Index Mutual Fund</u>	
Address: <u>P.O. Box 2600</u>	
City, State, Zip: <u>Valley Forge, PA 19482-2600</u>	
Nature of Services Rendered: _____	
Type of Income: <u>Dividends</u>	
Amount of Income: <input checked="" type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)	
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)	

<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse
Name of Source of Income: <u>Vanguard MidCap Mutual Fund</u>	
Address: <u>P.O. Box 2600</u>	
City, State, Zip: <u>Valley Forge, PA 19482-2600</u>	
Nature of Services Rendered: _____	
Type of Income: <u>Dividends</u>	
Amount of Income: <input checked="" type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)	
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)	

<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse
Name of Source of Income: <u>Vanguard SmallCap Mutual Fund</u>	
Address: <u>P.O. Box 2600</u>	
City, State, Zip: <u>Valley Forge, PA 19482-2600</u>	
Nature of Services Rendered: _____	
Type of Income: <u>Dividends</u>	
Amount of Income: <input checked="" type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)	
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)	

\* You are required to complete SCHEDULE E if you or your spouse received income in excess of \$1,000 from each source of income.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* You are not required to disclose income derived from disability payments from any source; or child support or alimony payments contained in a court order.

\* Income reported on Schedule D does not have to be restated on SCHEDULE E.

\* If the income is derived from professional or consulting services and the disclosure of the source's name or address is prohibited by law or professional code, such income should be disclosed on SCHEDULE F.



**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income**☐ Check if not applicable (income that exceeds \$1,000 from each source)

<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse
Name of Source of Income: <u>Fidelity Contrafund Mutual Fund</u>	
Address: <u>P.O. Box 770001</u>	
City, State, Zip: <u>Cincinnati, OH 45277-0003</u>	
Nature of Services Rendered: _____	
Type of Income: <u>Dividends and Capital Gain</u>	
Amount of Income: <input checked="" type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)	
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)	
<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse
Name of Source of Income: <u>FMI Large Cap Mutual Fund</u>	
Address: <u>P.O. Box 770001</u>	
City, State, Zip: <u>Cincinnati, OH 45277-0003</u>	
Nature of Services Rendered: _____	
Type of Income: <u>Dividends and Capital Gain</u>	
Amount of Income: <input checked="" type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)	
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)	
<input type="checkbox"/> Filer	<input checked="" type="checkbox"/> Spouse
Name of Source of Income: <u>Vanguard 500 Index Mutual Fund</u>	
Address: <u>P.O. Box 2600</u>	
City, State, Zip: <u>Valley Forge, PA 19482-2600</u>	
Nature of Services Rendered: _____	
Type of Income: <u>Dividends</u>	
Amount of Income: <input checked="" type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)	
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)	

\* You are required to complete SCHEDULE E if you or your spouse received income in excess of \$1,000 from each source of income.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* You are not required to disclose income derived from disability payments from any source; or child support or alimony payments contained in a court order.

\* Income reported on Schedule D does not have to be restated on SCHEDULE E.

\* If the income is derived from professional or consulting services and the disclosure of the source's name or address is prohibited by law or professional code, such income should be disclosed on SCHEDULE F.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income**☐ Check if not applicable

(income that exceeds \$1,000 from each source)

☐ Filer ☒ SpouseName of Source of Income: Vanguard MidCap Mutual FundAddress: P.O. Box 2600City, State, Zip: Valley Forge, PA 19482-2600

Nature of Services Rendered: \_\_\_\_\_

Type of Income: DividendsAmount of Income: ☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☐ Filer ☒ SpouseName of Source of Income: Vanguard SmallCap Mutual FundAddress: P.O. Box 2600City, State, Zip: Valley Forge, PA 19482-2600

Nature of Services Rendered: \_\_\_\_\_

Type of Income: DividendsAmount of Income: ☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ Filer ☐ SpouseName of Source of Income: Artisan Mid Cap Mutual FundAddress: Great-West Retirement Services, 2237 S. Acadian Thruway, Suite 702City, State, Zip: Baton Rouge, LA 70808

Nature of Services Rendered: \_\_\_\_\_

Type of Income: DividendsAmount of Income: ☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE E if you or your spouse received income in excess of \$1,000 from each source of income.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* You are not required to disclose income derived from disability payments from any source; or child support or alimony payments contained in a court order.

\* Income reported on Schedule D does not have to be restated on SCHEDULE E.

\* If the income is derived from professional or consulting services and the disclosure of the source's name or address is prohibited by law or professional code, such income should be disclosed on SCHEDULE F.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income**☐ Check if not applicable

(income that exceeds \$1,000 from each source)

☒ Filer ☐ SpouseName of Source of Income: Blackrock National Municipal Mutual FundAddress: 1800 Merrill Lynch DriveCity, State, Zip: Pennington, NJ 08534

Nature of Services Rendered: \_\_\_\_\_

Type of Income: DividendsAmount of Income: ☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ Filer ☐ SpouseName of Source of Income: Blackrock S&P 500 Mutual FundAddress: 1800 Merrill Lynch DriveCity, State, Zip: Pennington, NJ 08534

Nature of Services Rendered: \_\_\_\_\_

Type of Income: DividendsAmount of Income: ☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ Filer ☐ SpouseName of Source of Income: Blackrock Basic Value Mutual FundAddress: 1800 Merrill Lynch DriveCity, State, Zip: Pennington, NJ 08534

Nature of Services Rendered: \_\_\_\_\_

Type of Income: Dividends and Capital GainsAmount of Income: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE E if you or your spouse received income in excess of \$1,000 from each source of income.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* You are not required to disclose income derived from disability payments from any source; or child support or alimony payments contained in a court order.

\* Income reported on Schedule D does not have to be restated on SCHEDULE E.

\* If the income is derived from professional or consulting services and the disclosure of the source's name or address is prohibited by law or professional code, such income should be disclosed on SCHEDULE F.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule E: Income**☐ Check if not applicable

(income that exceeds \$1,000 from each source)

<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse		
Name of Source of Income: <u>Blackrock Global Allocation Mutual Fund</u>		
Address: <u>1800 Merrill Lynch Drive</u>		
City, State, Zip: <u>Pennington, NJ 08534</u>		
Nature of Services Rendered: _____		
Type of Income: <u>Dividends and Capital gains</u>		
Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input checked="" type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)		
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)		
 <input type="checkbox"/> Filer <input type="checkbox"/> Spouse		
Name of Source of Income: _____		
Address: _____		
City, State, Zip: _____		
Nature of Services Rendered: _____		
Type of Income: <u>Dividends</u>		
Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)		
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)		
 <input type="checkbox"/> Filer <input type="checkbox"/> Spouse		
Name of Source of Income: _____		
Address: _____		
City, State, Zip: _____		
Nature of Services Rendered: _____		
Type of Income: _____		
Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999)		
<input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)		

\* You are required to complete SCHEDULE E if you or your spouse received income in excess of \$1,000 from each source of income.

\*\*"Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* You are not required to disclose income derived from disability payments from any source; or child support or alimony payments contained in a court order.

\* Income reported on Schedule D does not have to be restated on SCHEDULE E.

\* If the income is derived from professional or consulting services and the disclosure of the source's name or address is prohibited by law or professional code, such income should be disclosed on SCHEDULE F.

**LOUISIANA BOARD OF ETHICS**

Post Office Box 4368

Baton Rouge, Louisiana 70821

**Schedule F: Income from Certain Professional or Consulting Services**

☒ CHECK if no income was received from professional or consulting services (including mental health, medical health, or legal services) when the disclosure of the name or address of the source of income would be prohibited by law or by a professional code.

<b>UTILITIES</b>	<b>INDUSTRY TYPE</b>	<b># OF CLIENTS</b>	<b>AMOUNT OF INCOME BY CATEGORY</b>	<b>INCOME RECIPIENT</b>
	Electric		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Gas		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Telephone		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Water		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Cable Television Companies		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both

<b>TRANSPORTATION</b>	<b>INDUSTRY TYPE</b>	<b># OF CLIENTS</b>	<b>AMOUNT OF INCOME BY CATEGORY</b>	<b>INCOME RECIPIENT</b>
	Intrastate Companies		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Pipeline Companies		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Oil & Gas Exploration		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Oil & Gas Production		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Oil & Gas Retailers		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both

<b>FINANCE &amp; INSURANCE</b>	<b>INDUSTRY TYPE</b>	<b># OF CLIENTS</b>	<b>AMOUNT OF INCOME BY CATEGORY</b>	<b>INCOME RECIPIENT</b>
	Banks		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Savings & Loan Assoc.		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Loan and/or Finance		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Manufacturing Firms		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Mining Companies		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Life Insurance Companies		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Casualty Insurance Comp.		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
Other Insurance Companies		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both	

**LOUISIANA BOARD OF ETHICS**

Post Office Box 4368

Baton Rouge, Louisiana 70821

**Schedule F: Income from Certain Professional or Consulting Services**

(CONTINUED)

<b>RETAIL COMPANIES</b>	<b>INDUSTRY TYPE</b>	<b># OF CLIENTS</b>	<b>AMOUNT OF INCOME BY CATEGORY</b>	<b>INCOME RECIPIENT</b>
	Beer Companies		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Wine Companies		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Liquor Companies		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Beverage Distributors		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both

<b>ASSOCIATIONS</b>	<b>INDUSTRY TYPE</b>	<b># OF CLIENTS</b>	<b>AMOUNT OF INCOME BY CATEGORY</b>	<b>INCOME RECIPIENT</b>
	Trade		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
	Professional		<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both

<b>OTHER</b>	<b>INDUSTRY TYPE</b>	<b># OF CLIENTS</b>	<b>AMOUNT OF INCOME BY CATEGORY</b>	<b>INCOME RECIPIENT</b>
			<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
			<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
			<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
			<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
			<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
			<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
			<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both
			<input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V <input type="checkbox"/> VI	<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both

\* You are required to complete SCHEDULE F if you or your spouse received income from a professional or consulting service (including mental health, medical health, or legal services) when the disclosure of the name or address of the source of income would be prohibited by law or by a professional code.

\*\*"Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

**Category Ranges:**

Category I (less than \$5,000)

Category II (\$5,000-\$24,999)

Category III (\$25,000-\$49,999)

Category IV (\$50,000-\$99,999)

Category V (\$100,000-\$199,999)

Category VI (\$200,000 or more)

Revised December 2012

Form 415A

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**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule G: Immovable Property**

(a property that exceeds \$2,000 in value)

☒ Check if not applicableLocation of Property: ☐ Filer ☐ Spouse ☐ Both

Country: \_\_\_\_\_ State: \_\_\_\_\_ Parish/County: \_\_\_\_\_

Address: \_\_\_\_\_

Description of Property: \_\_\_\_\_

Fair Market or Use Value by Category:

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Category I (less than \$5,000)  | <input type="checkbox"/> Category II (\$5,000-\$24,999)   | <input type="checkbox"/> Category III (\$25,000-\$49,999) |
| <input type="checkbox"/> Category IV (\$50,000-\$99,999) | <input type="checkbox"/> Category V (\$100,000-\$199,999) | <input type="checkbox"/> Category VI (\$200,000 or more)  |

Location of Property: ☐ Filer ☐ Spouse ☐ Both

Country: \_\_\_\_\_ State: \_\_\_\_\_ Parish/County: \_\_\_\_\_

Address: \_\_\_\_\_

Description of Property: \_\_\_\_\_

Fair Market or Use Value by Category:

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Category I (less than \$5,000)  | <input type="checkbox"/> Category II (\$5,000-\$24,999)   | <input type="checkbox"/> Category III (\$25,000-\$49,999) |
| <input type="checkbox"/> Category IV (\$50,000-\$99,999) | <input type="checkbox"/> Category V (\$100,000-\$199,999) | <input type="checkbox"/> Category VI (\$200,000 or more)  |

Location of Property: ☐ Filer ☐ Spouse ☐ Both

Country: \_\_\_\_\_ State: \_\_\_\_\_ Parish/County: \_\_\_\_\_

Address: \_\_\_\_\_

Description of Property: \_\_\_\_\_

Fair Market or Use Value by Category:

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Category I (less than \$5,000)  | <input type="checkbox"/> Category II (\$5,000-\$24,999)   | <input type="checkbox"/> Category III (\$25,000-\$49,999) |
| <input type="checkbox"/> Category IV (\$50,000-\$99,999) | <input type="checkbox"/> Category V (\$100,000-\$199,999) | <input type="checkbox"/> Category VI (\$200,000 or more)  |

\* You are required to disclose the location by country, state, and parish/county.

\* Fair market value and use value are determined by the assessor for purposes of ad valorem taxes.

Revised December 2012

Form 415A

[www.ethics.state.la.us](http://www.ethics.state.la.us)

**LOUISIANA BOARD OF ETHICS**

Post Office Box 4368

Baton Rouge, Louisiana 70821

**Schedule H: Investment Holdings**

(a holding that exceeds \$1,000 in value)

☒ Check if not applicable☐ Filer ☐ Spouse ☐ Both

Name of Security:

Description of Security:

Value by category: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

☐ Filer ☐ Spouse ☐ Both

Name of Security:

Description of Security:

Value by category: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

☐ Filer ☐ Spouse ☐ Both

Name of Security:

Description of Security:

Value by category: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE H if you or your spouse holds investment securities that have a value that exceeds \$1,000 each.

\* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

\* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.



**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☒ Filer ☐ Spouse ☐ BothTransaction Date: Dec 13, 2013

Description of Transaction:

Buy - Fidelity Contrafund Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☒ Filer ☐ Spouse ☐ BothTransaction Date: Dec 20, 2013

Description of Transaction:

Buy - FMI Large Cap Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☐ Filer ☐ Spouse ☒ BothTransaction Date: Dec 6, 2013

Description of Transaction:

Buy - Fidelity Growth Company Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE I if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures THAT EXCEED \$1,000 EACH, including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures.

\* You are not required to report information concerning variable annuities, variable life insurance, or variable universal life insurance.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: Apr 5, 2013

Description of Transaction:

Buy - Fidelity Spartan 500 Index Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☐ Filer ☐ Spouse ☒ BothTransaction Date: Jul 5, 2013

Description of Transaction:

Buy - Fidelity Spartan 500 Index Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☐ Filer ☐ Spouse ☒ BothTransaction Date: Oct 4, 2013

Description of Transaction:

Buy - Fidelity Spartan 500 Index Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE I if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures THAT EXCEED \$1,000 EACH, including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures.

\* You are not required to report information concerning variable annuities, variable life insurance, or variable universal life insurance.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: Dec 13, 2013

Description of Transaction:

Buy - Fidelity Spartan 500 Index Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☐ Filer ☐ Spouse ☒ BothTransaction Date: Dec 13, 2013

Description of Transaction:

Buy - Fidelity Tax Managed Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ Filer ☐ Spouse ☐ BothTransaction Date: Apr 26, 2013

Description of Transaction:

Buy - Vanguard 500 Index Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☒ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE I if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures THAT EXCEED \$1,000 EACH, including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures.

\* You are not required to report information concerning variable annuities, variable life insurance, or variable universal life insurance.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Aug 27, 2013

Description of Transaction:

Buy - Vanguard 500 Index Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☒ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☐ **Filer** ☒ **Spouse** ☐ **Both**Transaction Date: Jul 12, 2013

Description of Transaction:

Buy - Vanguard 500 Index Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☒ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☐ **Filer** ☒ **Spouse** ☐ **Both**Transaction Date: Jul 31, 2013

Description of Transaction:

Buy - Vanguard 500 Index Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☒ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE I if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures THAT EXCEED \$1,000 EACH, including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures.

\* You are not required to report information concerning variable annuities, variable life insurance, or variable universal life insurance.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☐ Filer ☒ Spouse ☐ BothTransaction Date: Aug 8, 2013

Description of Transaction:

Buy - Vanguard 500 Index Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☐ Filer ☒ Spouse ☐ BothTransaction Date: Jul 8, 2013

Description of Transaction:

Sold - Janus Advisory Forty Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☒ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☐ Filer ☒ Spouse ☐ BothTransaction Date: Jul 18, 2013

Description of Transaction:

Sold - Hartford International Opportunities Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☒ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE I if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures THAT EXCEED \$1,000 EACH, including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures.

\* You are not required to report information concerning variable annuities, variable life insurance, or variable universal life insurance.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Apr 26, 2013

Description of Transaction:

Buy - Vanguard MidCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☒ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Jul 18, 2013

Description of Transaction:

Buy - Vanguard MidCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Aug 8, 2013

Description of Transaction:

Buy - Vanguard MidCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE I if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures THAT EXCEED \$1,000 EACH, including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures.

\* You are not required to report information concerning variable annuities, variable life insurance, or variable universal life insurance.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Oct 16, 2013

Description of Transaction:

Buy - Vanguard MidCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Dec 23, 2013

Description of Transaction:

Buy - Vanguard MidCap Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☐ **Filer** ☒ **Spouse** ☐ **Both**Transaction Date: Apr 26, 2013

Description of Transaction:

Buy - Vanguard MidCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☒ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE I if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures THAT EXCEED \$1,000 EACH, including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures.

\* You are not required to report information concerning variable annuities, variable life insurance, or variable universal life insurance.

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☐ Filer ☒ Spouse ☐ BothTransaction Date: Jul 18, 2013

Description of Transaction:

Buy - Vanguard MidCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☐ Filer ☒ Spouse ☐ BothTransaction Date: Dec 23, 2013

Description of Transaction:

Buy - Vanguard MidCap Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☐ Filer ☒ Spouse ☐ BothTransaction Date: Oct 22, 2013

Description of Transaction:

Buy - Vanguard MidCap Mutual fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE I if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures THAT EXCEED \$1,000 EACH, including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures.

\* You are not required to report information concerning variable annuities, variable life insurance, or variable universal life insurance.



**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Apr 26, 2013

Description of Transaction:

Buy - Vanguard SmallCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☒ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Jul 12, 2013

Description of Transaction:

Buy - Vanguard SmallCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Aug 23, 2013

Description of Transaction:

Buy - Vanguard SmallCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☒ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

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**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☐ Filer ☒ Spouse ☐ BothTransaction Date: Jul 12, 2013

Description of Transaction:

Buy - Vanguard SmallCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☒ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☐ Filer ☒ Spouse ☐ BothTransaction Date: Aug 23, 2013

Description of Transaction:

Buy - Vanguard SmallCap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☐ Filer ☒ Spouse ☐ BothTransaction Date: Dec 23, 2013

Description of Transaction:

Buy - Vanguard SmallCap Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)

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**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☒ Filer ☐ Spouse ☐ BothTransaction Date: Apr 3, 2013

Description of Transaction:

Sold - Legg Mason Special Investment Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☒ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ Filer ☐ Spouse ☐ BothTransaction Date: Apr 3, 2013

Description of Transaction:

Sold - Legg Mason Opportunity Trust Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☒ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ Filer ☐ Spouse ☐ BothTransaction Date: Apr 3, 2013

Description of Transaction:

Sold - Legg Mason Small Cap Value Trust Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☒ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

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**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☒ Filer ☐ Spouse ☐ BothTransaction Date: Apr 3, 2013

Description of Transaction:

Sold - JPM Equity Index Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☒ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☒ Filer ☐ Spouse ☐ BothTransaction Date: Jul 8, 2013

Description of Transaction:

Sold - Legg Mason Value Trust Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☒ Filer ☐ Spouse ☐ BothTransaction Date: Apr 3, 2013

Description of Transaction:

Sold - Royce Total Return Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☒ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)

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**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Jul 11, 2013

Description of Transaction:

Sold - Legg Mason Opportunity Trust Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☒ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Jul 18, 2013

Description of Transaction:

Sold - Legg Mason Special Investment Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☒ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Jul 31, 2013

Description of Transaction:

Sold - Legg Mason Value Trust Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☒ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

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**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: Apr 16, 2013

Description of Transaction:

Buy - Louisiana Start Fund for Selia

Amount of Transaction:

☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☐ Filer ☐ Spouse ☒ BothTransaction Date: Apr 16, 2013

Description of Transaction:

Buy - Louisiana Start Fund for Shaan

Amount of Transaction:

☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☐ Filer ☐ Spouse ☒ BothTransaction Date: Apr 16, 2013

Description of Transaction:

Buy - Louisiana Start Fund for Slade

Amount of Transaction:

☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

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**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☐ Filer ☒ Spouse ☐ BothTransaction Date: Apr 3, 2013

Description of Transaction:

Sold - BlackRock U.S. Opportunities Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☒ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☐ Filer ☒ Spouse ☐ BothTransaction Date: Apr 26, 2013

Description of Transaction:

Sold - Thornburg Investment Income Builder Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$49,999)☒ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)☐ Filer ☒ Spouse ☐ BothTransaction Date: May 16, 2013

Description of Transaction:

Sold - Eaton Vance Large-Cap Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☒ Category III (\$25,000-\$49,999)☐ Category IV (\$50,000-\$99,999)☐ Category V (\$100,000-\$199,999)☐ Category VI (\$200,000 or more)

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**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule I: Transactions**☐ Check if not applicable

(a transaction that exceeds \$1,000)

☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Nov 21, 2013

Description of Transaction:

Buy - Artisan Mid Cap Mutual Fund

Amount of Transaction:

☒ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Dec 17, 2013

Description of Transaction:

Buy - Blackrock Basic Value Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)☒ **Filer** ☐ **Spouse** ☐ **Both**Transaction Date: Dec 19, 2013

Description of Transaction:

Buy - Blackrock Global Allocation Mutual Fund

Amount of Transaction:

☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999) ☐ Category III (\$25,000-\$49,999)  
☐ Category IV (\$50,000-\$99,999) ☐ Category V (\$100,000-\$199,999) ☐ Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE I if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures THAT EXCEED \$1,000 EACH, including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures.

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**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule J: Liabilities**☒ Check if not applicable

(a liability that exceeds \$10,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (if applicable): _____ Nature of Liability: _____ Amount of liability: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999) <input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (if applicable): _____ Nature of Liability: _____ Amount of liability: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999) <input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (if applicable): _____ Nature of Liability: _____ Amount of liability: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$49,999) <input type="checkbox"/> Category IV (\$50,000-\$99,999) <input type="checkbox"/> Category V (\$100,000-\$199,999) <input type="checkbox"/> Category VI (\$200,000 or more)

\* You are required to complete SCHEDULE J if you or your spouse (either individually or collectively) owes a liability that exceeds \$10,000 each.

\* You are not required to disclose any loan secured by movable property, if such loan does not exceed the purchase price of the movable property which secures the loan.

\* You are not required to disclose any liability, secured or unsecured, which is guaranteed by you or your spouse for a business in which you or your spouse owns any interest, provided that the liability is in the name of the business and, if the liability is a loan, that you or your spouse does not use proceeds from the loan for personal use unrelated to business.

\* You are not required to disclose any loan from an immediate family member, unless such family member is a registered lobbyist, or his principal or employer is a registered lobbyist, or he employs or is a principal of a registered lobbyist, or unless such family member has a contract with the State.

**LOUISIANA BOARD OF ETHICS**

Post Office Box 4368

Baton Rouge, Louisiana 70821

**Schedule K: Other Offices/Positions Held**

☒ Check if not applicable (positions that would require the filing of a Tier 2, Tier 2.1, or Tier 3 personal financial disclosure statement)

Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____
Name of Office/Position:	_____

\* You are required to complete SCHEDULE K if you hold any other office or position which would require you to file a personal financial disclosure statement under La. R.S. 42:1124.2, 42:1124.2.1, or 42:1124.3.

Revised December 2012

Form 415A

[www.ethics.state.la.us](http://www.ethics.state.la.us)

**LOUISIANA BOARD OF ETHICS**Post Office Box 4368  
Baton Rouge, Louisiana 70821**Schedule L: Contributions**☒ Check if not applicable (made within one year of employment- in excess of \$1,000)

Date of Employment: _____	Salary: \$ _____
Candidate Name: _____	
Amount of Contribution or Loan: \$ _____	
Date of Employment: _____	Salary: \$ _____
Candidate Name: _____	
Amount of Contribution or Loan: \$ _____	
Date of Employment: _____	Salary: \$ _____
Candidate Name: _____	
Amount of Contribution or Loan: \$ _____	
Date of Employment: _____	Salary: \$ _____
Candidate Name: _____	
Amount of Contribution or Loan: \$ _____	

\* You are required to complete SCHEDULE L if you are directly employed by a *statewide elected official* to serve as an agency head AND you made a contribution in excess of \$1,000 to the campaign of the official who employed you.

\* You are only required to disclose contributions or loans made within one year of employment or appointment.

\* "Candidate" means a person who seeks nomination or election to public office, except the office of president or vice president of the United States, presidential elector, delegate to a political party convention, United States senator, United States congressman, or political party office.

\* "Contribution" means a gift, conveyance, payment, or deposit of money or anything of value, or the forgiveness of a loan or of a debt, made for the purpose of supporting, opposing, or otherwise influencing the nomination or election of a person to public office, whether made before or after the election.

\* "Loan" means a transfer of money, property, or anything of value in exchange for obligation to repay in whole or in part, made for the purpose of supporting, opposing, or otherwise influencing the nomination for election, or election, of any person to public office.